

LOBBYIST REGISTRATION

FILING PERIOD: 2019 - 2020

PRINCIPAL LOBBYIST

MALKIN & ROSS
80 STATE STREET
11TH FLOOR
ALBANY, NY 12207
p.518-449-3359

CONTRACTUAL CLIENT

ELLIOTT MANAGEMENT CORPORATION
40 WEST 57TH STREET
NEW YORK, NY 10019
p.212-974-6000

BENEFICIAL CLIENTS

ELLIOTT MANAGEMENT CORPORATION
40 WEST 57TH STREET
NEW YORK, NY 10019
p.212-974-6000

INDIVIDUAL LOBBYIST(S)

NAME	START DATE	TERMINATION DATE	DESIGNATED?
GENE DESANTIS	12/20/2018		No
BRENDAN FRINOPATO	12/20/2018		No
DONALD ROSS	12/20/2018		No
MARY ANN DONNARUMA	12/20/2018		No
SAM KESSLER	12/20/2018		No
CAROL LEVON	12/20/2018		No
ARTHUR MALKIN	12/20/2018		No
STACE ORELL	12/20/2018		No
JESSICA SCHAFFROTH	12/20/2018		No
CHRISTINE FAGA	12/20/2018		No
NATHAN MCDANIELS	12/20/2018		No

SUB-LOBBYIST(S)

NAME	START DATE	TERMINATION DATE
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CO-LOBBYIST(S)

NAME	START DATE	TERMINATION DATE
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AGREEMENT INFORMATION

TYPE/LEVEL OF GOVERNMENT

TYPE OF LOBBYING RELATIONSHIP: Retained

LEVEL OF GOVERNMENT: State

LOBBYING AGREEMENT HISTORY

CONTRACT NAME	SIGNATORY	DESCRIPTION	DURATION	COMPENSATION
LR01810452-12/20/2018-15.05.39	ELLIOT GREENBERG, VICE PRESIDENT	Anticipate the \$5,000 Threshold will be exceeded	11/01/2018 - 04/30/2019	\$5000 Monthly

BUSINESS RELATIONSHIPS

NO ACTIVE RBR(S) CURRENTLY EXIST IN THIS CALENDAR YEAR

LOBBYING SUBJECTS

SUBJECTS ON WHICH YOU EXPECT TO LOBBY

- FINANCE, INSURANCE & FINANCIAL SERVICES – GENERAL

LOBBYING ACTIVITIES

State & MUNICIPAL FOCUSES AND PARTIES

TYPE(S) OF LOBBYING COMMUNICATION(S) YOU ARE OR YOU ANTICIPATE ENGAGING IN

- DIRECT

LOBBYING FOCUSES

TYPE	IDENTIFYING NO. OR DESCRIPTION (IF NO. DOES NOT EXIST)	MONITORING ONLY
STATE BILL	FINANCE & BUSINESS ISSUES	

PARTIES LOBBIED OR EXPECTED TO BE LOBBIED

GOVERNMENT BODY	NAME
ASSEMBLY COMMITTEE	BANKS
EXECUTIVE CHAMBER	EXECUTIVE CHAMBER
NYS ASSEMBLY	CARL E. HEASTIE
NYS ASSEMBLY	KENNETH P. ZEBROWSKI
NYS SENATE	JAMES SANDERS
NYS SENATE	ANDREA STEWART-COUSINS
SENATE COMMITTEE	BANKS