



NEW YORK STATE
COMMISSION ON ETHICS AND LOBBYING IN GOVERNMENT

540 Broadway, Albany NY 12207

helpdesk@ethics.ny.gov

LOBBYING AGREEMENT FORM RETAINED LOBBYISTS

SECTION I – CONTRACT INFORMATION

In lieu of submitting a copy of a lobbying agreement or contract, a Lobbyist may submit the following Lobbying Agreement Form with a Statement of Registration or Registration Amendment.

LOBBYIST AND CLIENT INFORMATION

Lobbyist Name COZEN O'CONNOR PUBLIC STRATEGIES, LLC

Contractual Client Name HBC US HOLDINGS LLC

Co-Lobbyist Name (If applicable) _____

AMENDMENT INFORMATION

Check if amendment to original agreement ☐

Indicate reason for amendment.

☐ Adding a Contract

☐ Compensation Change

☐ Other (Please specify) _____

☐ Check all that apply:

☐ Change in terms (start/end dates)

☐ Add/Remove Co-Lobbyist _____

CONTRACT DURATION*

Start Date is the first date the Lobbyist has agreed to or been authorized to lobby. The Termination Date is the last date the Lobbyist has agreed to or been authorized to lobby.

Start Date 01/11/2023

Termination Date* 12/31/2024

COMPENSATION INFORMATION**

See Section III Addendum on following page to enter additional compensation or date ranges.

Pay Frequency (select one)

☐ Hourly

☐ Daily

☐ Weekly

☐ Bi-Weekly

☐ Annually

☒ Monthly

☐ Quarterly

☐ One Time

☐ Range \$ _____ to \$ _____

Compensation Amount \$ 15,000

Check if services are being provided Pro Bono ☐

SECTION II – OTHER SERVICES AND SIGNATURES

OTHER SERVICES

Will other services, in addition to lobbying, be provided by the individuals authorized to lobby?

☒ Yes

☐ No

SIGNATURES

LOBBYIST SIGNATURE X

Katie Schwab

PRINT NAME KATIE SCHWAB

DATE 03/10/23

CONTRACTUAL CLIENT SIGNATURE X

Thomas Obersteiner

PRINT NAME THOMAS OBERSTEINER

DATE 3/11/202